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EXDIS, FOR AMB. BURT DECAPTIONED

E.O. 12356: DECL: OADR TAGS: BZ, GE, GC, XT, XH

SUBJECT: THE PRESIDENT'S BERLIN INITIATIVE

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- 1. SECRET ENTIRE TEXT.
- 2. OUR TASK IN THE WEEKS AHEAD IS TO MAINTAIN THE MOMENTUM GENERATED BY THE PRESIDENT'S SPEECH IN BERLIN ON JUNE 12. SPECIFICALLY, WE NEED QUICKLY TO TURN THE GENERAL IDEAS WHICH THE PRESIDENT OUTLINED IN BERLIN INTO CONCRETE NEGOTIATING PROPOSALS, WHICH WE AND OUR FRENCH AND BRITISH ALLIES CAN PUT TO THE SOVIETS.

THE PARAGRAPHS THAT FOLLOW SEEK TO SUMMARIZE WHAT WE CONSIDER FUNDAMENTAL U.S. GOALS, OBJECTIVES, AND SECRET

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NEGOTIATING GUIDELINES AND PRINCIPALS FOR BERLIN. 1N PREPARING THIS DOCUMENT, WE HAVE SOUGHT TO TAKE INTO ACCOUNT VIEWS PREVIOUSLY EXPRESSED BY STATE AND DEFENSE.

UNITED STATES DEPARTMENT OF STATE REVIEW AUTHORITY: WILLIAM J GEHRON DATE/CASE ID: 22 DEC 2004 200403871

UNCLASSIFIED

UNCECESSIFIED

U.S. GOALS AND OBJECTIVES

- 1. TO REAFFIRM QUADRIPARTITE RESPONSIBILITY (U.S.-UK-FRENCH-SOVIET) FOR BERLIN AND GERMANY AS A WHOLE.
- 2. TO DEMONSTRATE TO GERMANS IN BERLIN AND THE FRG A CONTINUED U.S. (ALSO UK AND FRENCH) COMMITMENT TO SEEKING PRACTICAL IMPROVEMENTS IN BERLIN, SPONSORING PROGRESS ON THE BASIC QUESTION OF GERMAN UNITY, AND EXPANDING EAST-WEST TIES GENERALLY.
- 3. TO CHALLENGE THE SOVIET UNION TO TURN TALK OF GLASNOST (OPENNESS) INTO CONCRETE RESULTS BENEFITING EUROPEAN SECURITY AND FREEDOM. IN THE EVENT OF EASTERN OBSTRUCTIONISM, TO SHOW THAT THE OBSTACLES TO CHANGE ARE IN THE EAST, NOT THE WEST.
- 4. TO PRESERVE AND, IF POSSIBLE, STRENGTHEN THE COMMERCIAL VIABILITY OF U.S. (ALSO UK AND FRENCH) AIR CARRIERS USING THE AIR CORRIDORS TO BERLIN.
- 5. TO INCREASE THE NUMBER OF COMMERICAL AIR FLIGHTS TO AND FROM WEST BERLIN AIRPORTS, BY ARRANGING FOR ALLIED AND THIRD COUNTRY CARRIERS TO PROCEED OUTSIDE THE CORRIDORS ON NEW NORTH-SOUTH ROUTES.
- 6. TO EXPLORE WITH THE UK AND FRANCE POSSIBLE STRATEG9ES FOR ACHIEVING THE AGREED ALLIED GOAL OF HAVING NO SOVIET SECRET

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RESERVATIONS OF CORRIDOR AIRSPACE OUT TO 37 MILES WEST OF THE CENTER OF THE BER-IN CONTROL ZONE, AND FOR SECURING IN PRACTICE OUR RIGHT TO FLY IN THE CORRIDORS AT ALTITUDES SIGNIFICANTLY ABOVE 10,000 FEET, A LEVEL WHICH THE SOVIETS HAVE SOUGHT TO IMPOSE AS AN OPERATIONAL CEILING FOR ALLIED FLIGHTS. IN DEVELOPING THESE STRATEGIES, IT SHOULD BE CLEAR IN ADVANCE THAT WE RULE OUT ANY DISCUSSION OF THESE ISSUES WITH THE SOVIETS IN A MANNER THAT WOULD (A) OPEN UP A GENERAL RE-NEGOTIATION OF THE AIR CORRIDOR REGIME; (B) SUGGEST WE FAVOR FOREGOING ANY PRESENT USE OF CORRIDOR AIRSPACE, SUCH AS LOWER-LEVEL FLIGHTS; OR (C) IMPLY A LARGER GDR ROLE WITH RESPECT TO THE CORRIDOR REGIME. WE WOULD WANT TO MAINTAIN THE AGREED ALLIED PRINCIPLE OF QUADRIPARTITE MANAGEMENT OF THE AIR CORRIDORS.

UNECESSIFIED

7. TO RE-ASSERT ALLIED CONTROL, TO THE EXTENT POSSIBLE, OVER WESTERN AIR RELATIONS WITH THE GDR, INCLUDING RELATIONS BETWEEN FRG AND GDR CARRIERS (LUFTHANSA AND INTERFLUG) AND TO GUIDE THOSE RELATIONS IN A DIREC ION CONSISTENT WITH OUR INTERESTS. AS PART OF THIS PROCESS, OUR OBJECTIVE WOULD BE TO ALLOW LUFTHANSA TO STOP IN WEST BERLIN (WITH LIMITED RIGHT TO DISCHARGE AND BOARD PASSENGERS) ON FLIGHTS BETWEEN THE FRG AND SOVIET AND EAST EUROPEAN CITIES, AND TO PROVIDE SERVICE TO SELECTED

GDR CITIES; IN RETURN, EAST GERMAN INTERFLUG WOULD BE GRANTED PERMISSION TO PROVIDE SERVICE TO SELECTED FRG CITIES FROM POINTS IN THE GDR OTHER THAN SCHOENFELD AIRPORT NEAR BERLIN.

TO PROMOTE BERLIN, IN CONJUNCTION WITH FRG SPORTS ORGANIZATIONS, AS THE SITE FOR FUTURE OLYMPIC GAMES, AND AS THE LOCATION OF INTERNATIONA SPORTS COMPETITIONS OF ALL KINDS.

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- 9. TO HAVE BERLIN SERVE AS A SITE FO INTERNATIONAL CONFERENCES, INCLUDING UN MEETINGS, ARMS CONTROL AND HUMAN RIGHTS DISCUSSIONS.
- TO SPONSOR AND ARRANGE YOUTH EXCHANGE PROGRAMS OF ALL KINDS BETWEEN EAST AND WEST BERLIN, INCLUDING SUMMER CAMPS, CULTURAL EVENTS, SPORTS COMPETITIONS AND TOURIST VISITS.

NEGOTIATING GUIDELINES AND PRINCIPLES

IN PURSUING THE INITIATIVE, THE U.S. WOULD ADHERE CLOSELY TO SOME BASIC GUIDELINES AND PRINCIPLES. THE U.S. WOULD:

- -- REBUFF ANY EAST GERMAN OR SOVIET EFFORTS TO USE NEGOTIATIONS TO ADVANCE THEIR INTERPRETATION OF BERLIN STATUS ISSUES, PARTICULARLY THE CLAIM THAT EAST BERLIN IS THE CAPITAL OF THE GDR AND NOT SUBJECT TO FOUR POWER CONTROL.
- -- AVOID PUBLIC STATEMENTS OR ACTIONS THAT WOULD RAISE UNDULY THE EXPECTATIONS OF WEST GERMANS AND WEST

BERLINERS (AND THUS PUT PRESSURE UPON OURSELVES)
REGARDING POSSIBLE SPECIFIC IMPROVEMENTS IN OR EXPANSION
OF AIR SERVICE TO WEST BERLIN.

-- ENSURE THE CONTINUED VIABILITY OF THE BERLIN AIR
CORRIDOR REGIME BY AVOIDING ANY STEPS THAT WOULD RESTRICT
OUR USE OF THE CORRIDORS OR UNDERCUT AGREED RULES FOR
CORRIDOR FLIGHTS, THREATEN THROUGH COMPETITION OR
OTHERWISE THE U.S.-UK-FRENCH CIVIL AIR TRAFFIC THROUGH
THE CORRIDORS (THE ONLY AIR LIFELINE TO BERLIN
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ESTABLISHED BY FOUR POWER AGREEMENT AND NOT SUBJECT TO EAST GERMAN CONTROL), OR ALLOW THE GDR TO GAIN CONTROL OVER AIRSPACE MANAGEMENT OR CIVIL FLIGHTS TO WEST BERLIN.

-- MAINTAIN ALLIED UNITY AND COHESION BY WORKING CLOSELY WITH THE UK AND FRENCH IN REFINING SPECIFIC INITIATIVES,

INVOLVING WEST BERLIN AND WEST GERMAN AUTHORITIES, IN APPROPRIATE WAYS, IN PURSUIT OF THE INITIATIVE'S COMPONENTS, AND KEEPING OTHER ALLIES APPROPRIATELY INFORMED.

SHULTZ